ASIA PACIFIC SECURITIES JOINT STOCK COMPANY	**Socialist Republic of Vietnam**
	Independence - Freedom – Happines
No: ..79../2026/CV-APS	*Ha Noi, October, 19th 2026*

EXTRAORDINARY INFORMATION DISCLOSURE

To: - Vietnam Stock Exchange;
- Hanoi Stock Exchange;
- Ho Chi Minh Stock Exchange;
- State Securities Commission of Vietnam.

1. Name of the organization: Asia Pacific Securities Joint Stock Company
   - Stock code: APS
   - Address: 3rd floor, Grand Plaza building, 117 Tran Duy Hung, Yen Hoa Ward, Hanoi City.
2. Tel: 1900 9999 86
3. Explanation content:
   - Q3/2026 Report on Measures to Remedy the Status of APS Stock Being Placed Under Warning and Control.
4. This information has been published on the company's website on *October, 20th* 2026 at the link www.apec.com.vn.

We hereby commit that the information disclosed above is true and accurate, and we fully take responsibility before the law for the content of the disclosed information.

Attached documents:
- *Explanation letter no:*
  ...../2026/CV-APS

ASIA PACIFIC SECURITIES JOINT STOCK COMPANY

CÔNG TY CỔ PHẦN CHỨNG KHOÁN CHÂU Á THÁI BÌNH DƯƠNG

Nguyen Duc Quan

ASIA PACIFIC SECURITIES JOINT STOCK COMPANY

Socialist Republic of Vietnam
Independence - Freedom – Happines

No: .80../2026/CV-APS

Ha Noi, October, 19th... 2026

Regarding the correction of the situation of the stock being placed under warning and supervision status

To: - Vietnam Stock Exchange;
- Hanoi Stock Exchange;
- State Securities Commission of Vietnam.

- **Name of the organization**: Asia Pacific Securities Joint Stock Company
- **Stock code**: APS
- **Address**: 3rd floor, Grand Plaza building, 117 Tran Duy Hung, Trung Hoa Ward, Cau Giay District, Hanoi City.
- **Tel**: 1900 999986

Asia Pacific Securities Joint Stock Company (hereinafter referred to as "APS" or the "Company") has received Notification No. 1423/TB-SGDHN dated April 6, 2026 from the Hanoi Stock Exchange regarding the following:

- *The APS stock has been placed under warning status pursuant to Decision No. 372/QĐ-SGDHN dated April 6, 2026 by the Hanoi Stock Exchange due to the Company's accumulated after-tax profit being negative as of December 31, 2025, as stated in the audited financial statements for 2025.*
- *The APS stock has been placed under control status pursuant to Decision No. 777/QĐ-SGDHN dated July 2, 2025 by the Hanoi Stock Exchange due to the auditor issuing qualified opinions on the Company's financial statements for 2023 and 2024.*

APS has implemented the following measures to address the above matters:

- **Audit Opinion:** The independent auditor issued an unmodified opinion on the Company's 2025 Financial Statements.
- **Retained Earnings (Accumulated Losses):** In 2026, the Company recovered a portion of the receivables for which provisions had previously been recognized and will continue to recover the remaining outstanding receivables as soon as practicable. At the same time, the Company's Management is committed to improving and enhancing its business strategy and operating efficiency with the objective of restoring the Company's retained earnings to a positive balance through sustainable profitability.

This letter serves as the Company's explanation and report on measures taken to remedy the situation that led APS stock to be placed under warning and control status. APS respectfully requests the relevant Authorities and the Stock Exchange to consider and support the removal of APS stock from the warning and control lists.

Sincerely,

Thank you for your attention!

ASIA PACIFIC SECURITIES JOINT STOCK COMPANY

CÔNG TY CỔ PHẦN CHỨNG KHOÁN CHÂU Á THÁI BÌNH DƯƠNG

TỔNG GIÁM ĐỐC

Nguyễn Đức Quân