

Số: 34./2026/CBTT-APS
No: 34./2026/CBTT-APS

Hà Nội, ngày 24 tháng 4 năm 2026
Hanoi, day 24 month 4 year 2026

**CÔNG BỐ THÔNG TIN TRÊN CÔNG THÔNG TIN ĐIỆN TỬ CỦA ỦY BAN
CHỨNG KHOÁN NHÀ NƯỚC VÀ CÁC SỞ GIAO DỊCH CHỨNG KHOÁN/
INFORMATION DISCLOSURE ON ELECTRONIC PORTAL OF THE STATE
SECURITIES COMMISSION AND STOCK EXCHANGES**

Kính gửi/To: Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission*
Sở giao dịch chứng khoán Việt Nam/ *Vietnam Stock Exchange*
Sở giao dịch chứng khoán Hà Nội/ *Hanoi Stock Exchange*
Sở giao dịch chứng khoán TP. Hồ Chí Minh/ *Ho Chi Minh City Stock Exchange*

1. Tên tổ chức/ *Name of organization:* Công ty Cổ phần Chứng khoán Châu Á Thái Bình Dương/
Asia – Pacific Securities Joint Stock Company

- Mã chứng khoán/ *Stock code:* APS

- Địa chỉ/ *Address:* Tầng 3, tòa nhà Grand Plaza, số 117 Trần Duy Hưng, P. Yên Hòa, Tp. Hà Nội/
3rd Floor, Grand Plaza Building, No. 117 Tran Duy Hung Street, Yen Hoa Ward, Hanoi City

- Điện thoại liên hệ/ *Tel:* 024 3573 0200

- Email: dichvukhachhang@apec.com.vn

- Website: www.apec.com.vn

2. Nội dung thông tin công bố/ *Contents of disclosure:*

Công ty cổ phần Chứng khoán Châu Á Thái Bình Dương (APS) công bố Tài liệu họp Đại hội đồng cổ đông thường niên năm 2026.

Asia-Pacific Securities Joint Stock Company (APS) announced the documents for the 2026 Annual General Meeting of Shareholders.

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 24./4./2026 tại đường dẫn: www.apec.com.vn

This information was published on the company's website on 24./4./2026, as the link www.apec.com.vn

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm/ *Attached documents*

Tài liệu họp ĐHCĐ thường niên năm 2026
the documents for AGM 2026

Đại diện tổ chức
Organization representative
Person authorized to disclose information

TỔNG GIÁM ĐỐC
Nguyễn Đức Quân





**ANNOUNCEMENT THE INVITATION TO
THE 2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS**

Dear: Mr/Mrs:

Address:.....

Phone :

Asia Pacific Securities Joint Stock Company respectfully invites its shareholders to attend the Company's 2026 Annual General Meeting of Shareholders with the following details:

I. Share Ownership Information

- Shareholder Code:
- Ownership Registration Number:
- Number of Shares Held:

II. Meeting time

1st Meeting: 9:00 a.m, Tuesday, June 09, 2026

2nd Meeting: 9:00 a.m, Tuesday, June 16, 2026

3rd Meeting: 9:00 a.m, Tuesday, June 23, 2026

III. Meeting Venue: 3rd Floor, Grand Plaza Building, 117 Tran Duy Hung, Yen Hoa Ward, Ha Noi

IV. Meeting Agenda:

- Report of the Board of Management on business results in 2025;
- Report of the Board of Directors on activities in 2025;
- Report of the Independent Member of the Board of Directors on activities in 2025;
- Report of the Supervisory Board on activities in 2025;
- Approval of the audited 2025 Financial Statements;
- Approval of the 2025 business performance results and the 2026 business plan;
- Approval of the selection of the auditing unit/review of the 2025 Financial Statements;
- Approval of the 2025 remuneration and the 2026 remuneration payment plan of the Board of Directors and the Supervisory Board;
- Other contents fall under the jurisdiction of the General Meeting of Shareholders.

V. Meeting Documents:

Shareholders are kindly requested to access information and download the meeting materials under the Shareholder Notices section on the Company's website: www.apec.com.vn

VI. Eligibility to Attend:

All shareholders holding shares of the Company as recorded in the shareholder list as of April 16, 2026, announced by Vietnam Securities Depository and Clearing Corporation, or their duly authorized representatives, are eligible to attend the Meeting.

VII. Registration for Attendance:

Shareholders or their authorized representatives attending the General Meeting of Shareholders are kindly requested to bring the following documents for registration procedures: (i) Citizen Identification Card / Passport / Copy of Enterprise Registration Certificate (for organizations); (ii) Invitation Letter; (iii) Proxy Form (in case of authorization).

Yours sincerely./.

ON BEHALF OF THE BOARD OF DIRECTORS



ASIA – PACIFIC SECURITIES JOINT STOCK COMPANY



PROXY FORM

ATTENDING THE 2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS

1. Principal

Name of Individual/Organization:
Citizen ID/Passport/Enterprise Registration Certificate No.:
Date of Issue:
Number of shares currently owned as of April 16, 2026:shares
(In words:.....).

2. Authorized Representative

Full Name:
Citizen ID/Passport/Enterprise Registration Certificate No.:
Date of Issue:
Address:
Number of authorized shares:shares
(In words:.....)

3. Scope of Authorization

The Authorized Representative is entitled to represent the Principal in attending and voting on matters submitted for consideration and approval at the 2026 Annual General Meeting of Shareholders of Asia Pacific Securities Joint Stock Company, corresponding to the number of authorized shares, in accordance with applicable laws and the Company's Regulations on the Organization of the General Meeting of Shareholders.

Responsibilities and Term of Authorization

- This Power of Attorney shall be effective from the date of signing until the conclusion of the 2026 Annual General Meeting of Shareholders of Asia Pacific Securities Joint Stock Company.
- The Authorized Representative may not re-authorize any third party to perform the matters stated in this Power of Attorney.
- In the event that the shareholder does not attend the Meeting and has not appointed any representative, the shareholder agrees to authorize:

Mr. **Nguyen Duc Quan** – General Director – Member of The Board of Directors

....., date month year 2026

Authorized Representative¹

(Sign and state full name)

Principal

(Sign and state full name; affix the seal if the shareholder is an organization)

The Principal and the Authorized Representative shall bear full responsibility for this authorization and undertake to strictly comply with all applicable laws and the Charter of Asia Pacific Securities JSC.,.

Note: Please send the Confirmation Form/Proxy Form in advance to the following email address:

dichvukhachhang@apec.com.vn

¹ The Authorized Representative attending the Meeting is required to bring a valid Citizen ID Card/Passport, the invitation letter, and the original Power of Attorney



ASIA PACIFIC SECURITIES JOINT STOCK COMPANY



VOTING SLIP

2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS

SHAREHOLDER CODE:

Full Name of Shareholder,	:
Authorized Person	:
Shareholder's Registration,	:
Number, Authorized Person	:
Number of Share Owned	:
Number of Authorized Shares	:
Total Number of Voting	:
Slips	:



**2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS
ASIA PACIFIC SECURITIES JOINT STOCK COMPANY**

WRITTEN VOTING BALLOT

I. Shareholder information/ Shareholder representative information

1. Shareholder code:
2. Shareholder full name:
3. Number of shares with valid voting rights:

II. Voting results

1. Report by the Board of General Directors on the business performance of 2025;	<input type="checkbox"/> Agree	<input type="checkbox"/> Disagree	<input type="checkbox"/> No Opinion
2. Report by the Board of Directors on the activities in 2025	<input type="checkbox"/> Agree	<input type="checkbox"/> Disagree	<input type="checkbox"/> No Opinion
3. Report by the Independence of the Board of Directors on the activities in 2025	<input type="checkbox"/> Agree	<input type="checkbox"/> Disagree	<input type="checkbox"/> No Opinion
4. Report by the Supervisory Board on the activities in 2025	<input type="checkbox"/> Agree	<input type="checkbox"/> Disagree	<input type="checkbox"/> No Opinion
5. Approval of the Audited financial report for 2025	<input type="checkbox"/> Agree	<input type="checkbox"/> Disagree	<input type="checkbox"/> No Opinion
6. Approval of the 2025 Business Performance Report and the 2025 Business Plan	<input type="checkbox"/> Agree	<input type="checkbox"/> Disagree	<input type="checkbox"/> No Opinion
7. Approval of the selection of the auditing/reviewing firm for the 2025 financial report	<input type="checkbox"/> Agree	<input type="checkbox"/> Disagree	<input type="checkbox"/> No Opinion
8. Approval of the remuneration for 2025 and the payment plan for the Board of Directors and Supervisory Board in 2026	<input type="checkbox"/> Agree	<input type="checkbox"/> Disagree	<input type="checkbox"/> No Opinion
9. Approval of the continued implementation of the Company's withdrawal from the underwriting business	<input type="checkbox"/> Agree	<input type="checkbox"/> Disagree	<input type="checkbox"/> No Opinion
10. Approval for authorizing the board of directors to decide on matters under the authority of the General Meeting of Shareholders	<input type="checkbox"/> Agree	<input type="checkbox"/> Disagree	<input type="checkbox"/> No Opinion

.....June, 2026

**Signature of Shareholder/ Representative/ Authorized Person
(Sign and Clearly print full name)**

DOCUMENT

GENERAL MEETING OF SHAREHOLDERS 2026

ASIA PACIFIC SECURITIES JOINT STOCK COMPANY





**PROGRAM OF THE 2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS
OF ASIA PACIFIC SECURITIES JOINT STOCK COMPANY**

PROGRAM		Duration
I	Opening Procedure	
1	Welcoming delegates to the meeting. Check the eligibility of shareholders to attend the General Meeting and distribute meeting documents	8h30-9h00
2	Organizing Committee: Announcement of reasons, introduction of the Chairman of the Congress	9h00-9h30
3	Chairman of the congress: Introduction of the presidium and appointment of the Secretary of the congress Report on inspection of shareholders' eligibility to attend the General Meeting	
4	Opening of the Congress; Introduction and approval of the Presidium; Secretariat, Vote Counting Committee, Shareholder Qualification Examination Board;	
5	The Chairman of the Congress introduced the program of the Congress; Approve the Working Regulations.	
II	Contents of the Congress	
1	Presenting reports and submissions: a. Report of the Board of Management on business results in 2025; b. Report of the Board of Directors on activities in 2025; c. Report of the Independent Member of the Board of Directors on activities in 2025; d. Report of the Supervisory Board on activities in 2025; e. Approval of the audited 2025 Financial Statements; f. Approval of the 2025 business performance results and the 2026 business plan; g. Approval of the selection of the auditing unit/review of the 2025 Financial Statements; h. Approval of the 2025 remuneration and the 2026 remuneration payment plan of the Board of Directors and the Supervisory Board; i. Other contents fall under the jurisdiction of the General Meeting of Shareholders.	9h30 – 9h50
2	Discussion at the Congress on the content of the reports	9h50 – 10h20
3	The Congress voted on the presented contents	10h20 – 10h35
4	Breaks and vote counting	10h35 – 11h00
5	Announcement of vote counting results	
6	Presentation of the Minutes of the Congress and the Draft Resolution of the Congress	11h00 – 11h15
7	Approval of the Minutes and Resolution of the General Meeting of Shareholders	
III	Closing Summary	
	The Chairman gave a closing speech at the Congress	11h15 – 11h30

WORKING REGULATIONS

ANNUAL GENERAL MEETING OF SHAREHOLDERS 2026

ASIA PACIFIC SECURITIES JOINT STOCK COMPANY

- Pursuant to the Law on Enterprises No. 59/2020/QH14;
- Pursuant to the Law on Securities No. 54/2019/QH14;
- Pursuant to the Charter of operation of Asia Pacific Securities Joint Stock Company;
- Pursuant to the functions, tasks and powers of the Board of Directors of Asia Pacific Securities Joint Stock Company..

In order for the General Meeting to achieve the highest consensus of shareholders, in accordance with the program, plan and the provisions of the Charter of Operation of Asia Pacific Securities Joint Stock Company. The Board of Directors of the Company shall formulate the Working Regulations of the General Meeting with the following specific contents:

Goal:

- Ensuring the principles of openness, fairness and democracy and construction;
- For the interests of shareholders;
- Create favorable conditions for the organization and conduct of the General Meeting of Shareholders.

CHAPTER I

GENERAL PROVISIONS

Article 1. Scope and subjects of application

1. This Regulation applies to the holding of the 2026 Annual General Meeting of Shareholders of Asia Pacific Securities Joint Stock Company (hereinafter referred to as the "Company" or "APS")
2. This Regulation specifies the rights and obligations of the parties participating in the Congress, conditions and modes of conducting the Congress.
3. Shareholders and participating parties are responsible for complying with the provisions of this Regulation.

Article 2. Goal

1. Ensure the principle of openness and transparency.
2. Create favorable conditions for the organization of the Congress to be successfully implemented and comply with the provisions of law.

CHAPTER II

CONDITIONS FOR ATTENDING THE GENERAL MEETING, RIGHTS AND OBLIGATIONS OF SHAREHOLDERS AND PARTICIPANTS

OF THE GENERAL MEETING

Article 3. Participants of the Annual General Meeting of Shareholders

All shareholders owning shares of the Company in the list of shareholders on the closing date of the right to attend the General Meeting (April 16, 2026), the Organizing Committee of the General Meeting and other subjects according to the approval authority of the Chairman of the General Meeting.

Article 4. Rights of shareholders when attending the General Meeting

1. All shareholders of the company have the right to attend and vote on matters under the jurisdiction of the General Meeting of Shareholders.
2. Shareholders may attend the General Meeting of Shareholders in one of the following forms:
 - a. Directly attend the General Meeting of Shareholders.
 - b. In case of inability to attend the General Meeting, shareholders may authorize others to attend and vote on matters under their competence. This authorization must be made in writing according to the form attached to the Invitation to the General Meeting of Shareholders of the Company.
3. The Organizing Committee publicly announced the content of the Congress program.
4. At the General Meeting, each shareholder or authorized representative who comes to attend the General Meeting shall receive a Voting Slip or a Voting Ballot for Opinions in writing after registering to attend the General Meeting with the Shareholder Qualification Examination Committee:
 - a. The voting slip and the written opinion poll shall contain information on the names of shareholders, shareholder codes, number of shares owned, number of representative shares, number of shares entitled to vote of shareholders or representatives of shareholders attending and voting contents at the General Meeting.
 - b. Shareholders and authorized representatives who come to the General Meeting late have the right to register immediately, then have the right to participate and vote right at the General Meeting, but the Chairman is not responsible for stopping the meeting and the validity of the previous voting rounds will not be affected. In case the Vote Counting Committee is conducting the vote counting, the shareholders do not have the right to vote. The Vote Counting Committee is responsible for adding up the number of shares with voting rights at the General Meeting to calculate the percentage through the voting contents in the following section.

Article 5. Obligations of ordinary shareholders when attending the General Meeting

1. Shareholders' attire to attend the meeting ensures politeness and solemnity;
2. Shareholders or authorized representatives come to the General Meeting with the

following papers:

- a. Valid identity card or passport;
 - b. The power of attorney according to the form enclosed with the invitation letter to the General Meeting (in case of being authorized to attend the General Meeting: In case the Shareholder is an individual, the power of attorney must be signed by such Shareholder; In case the Shareholder is a legal entity/organization, the authorization document must be stamped and signed by the legal representative of that legal entity/organization); The person authorized to attend the General Meeting may not be re-authorized to a third party.
3. Shareholders are only allowed to officially attend and vote at the General Meeting after carrying out the registration procedures and proving that their status as Shareholders or/and their authorized representatives are valid with the Shareholder Qualification Examination Committee.
 4. Shareholders/representatives of shareholders attending the meeting must complete the procedures for registering to attend the General Meeting with the Organizing Committee of the General Meeting
 5. During the General Meeting, shareholders/representatives of shareholders must comply with the guidance and control of the Chairman, respect the working results of the Congress, behave civilly, politely, and not cause disorder.
 6. Comply with the conditions and formats specified in this Regulation;
 7. In case a shareholder leaves the meeting before the General Meeting to vote without notifying the Counting Committee, such shareholder shall be deemed to have given no opinion on all issues to be voted on at the General Meeting.
 8. Comply with the conditions and formalities specified in this Regulation.

Article 6. Rights and obligations of the Chairman of the General Assembly

1. The Chairman of the General Meeting is the Chairman of the Board of Directors or a person authorized by the Chairman of the Board of Directors, holding the role of presiding over the meeting of the General Meeting of Shareholders. The Authorized Person must be a member of the Board of Directors.
2. The Chairman of the Congress has the following responsibilities and powers:
 - a. Presiding over and controlling the Congress to implement the work program in a valid and orderly manner;
 - b. When there are events that arise outside the agenda of the General Meeting of Shareholders, the Chairman will discuss with other members of the Organizing Committee (before the General Meeting starts) and the Presiding Delegation (during the General Meeting) to find a solution. However, in case there are many different opinions, the opinion with the support of the Chairman will be decisive;
 - c. Have the right to take necessary measures to control the meeting in a reasonable, orderly manner and in accordance with the approved program and reflect the

wishes of the majority of meeting participants;

- d. To have the right to postpone the congress to another time and at a place to be decided by the President without consulting the congress, if it is found that:
 - The meeting venue does not have enough convenient seating for all participants;
 - There are people attending the meeting who obstruct or disrupt the order, which may cause the meeting to not be conducted fairly and legally.
 - The delay is necessary for the work of the congress to proceed in a valid manner. The maximum postponement period shall not exceed three days from the date on which the meeting is scheduled to open.

Article 7. Responsibilities of the Secretariat of the Congress

1. The Secretariat is nominated by the Chairman and approved by the Congress by vote to assist the Congress. The Secretariat is responsible to the Chairman and the Congress for its tasks
2. The Secretariat shall perform the work of assisting as assigned by the Chairman and shall have the task of
 - a. Fully and honestly record all the contents of the General Meeting and the issues that have been approved or noted by the shareholders at the General Meeting.
 - b. Drafting and presenting the Minutes of the Congress and Resolutions on issues passed at the Congress.

Article 8. Responsibilities of the Shareholder Qualification Inspection Board

1. The Board for Examination of Shareholder Status is nominated and approved by the Chairman of the Board of Directors at the General Meeting, with the following functions and tasks:
 - a. Check the eligibility of shareholders and authorized representatives of shareholders based on the documents they present: Check Citizen ID/Passport, Meeting Invitation, Power of Attorney and attached documents (if any);
 - b. Distribute to shareholders or authorized representatives of shareholders Voting Votes, written Shareholder Opinion Sheets and documents of the General Meeting;
 - c. Summarize and report to the General Meeting on the results of checking the eligibility of shareholders attending the General Meeting.
2. In case a person attending the meeting is not fully qualified to attend the General Meeting, the Shareholder Qualification Examination Committee has the right to refuse his/her right to attend the meeting, refuse to issue a ballot paper, a written shareholder opinion poll and documents of the General Meeting.

Article 9. Responsibilities of the Vote Counting Board

1. The vote counting committee is nominated by the Chairman of the Board of

Directors and approved at the General Meeting, members of the vote counting committee must not be names in the list of nominees or candidates for members of the Board of Directors and members of the Control Board.

2. The vote counting committee has the following tasks:
 - a. Guiding voting principles and rules;
 - b. Counting the number of votes according to each type: agree, disagree, no opinion immediately after the Congress votes;
 - c. Distribution and receipt of written shareholder opinion polls. Confirm valid information on the shareholders' opinion form in writing.
 - d. Record the results of the voting vote and the shareholders' opinion poll in writing. Summarize and report to the Congress on issues that have been passed or not approved in accordance with the Voting Regulations approved by the Congress.
 - e. Summarize and make a record of vote counting and notify the results before approving the Minutes of the General Meeting of Shareholders.
 - f. Perform other assigned tasks.

CHAPTER III

ORDER OF CONDUCT OF THE CONGRESS

Article 10. Conditions for conducting the General Meeting

The General Meeting of Shareholders is conducted when the number of shareholders attending the meeting represents at least 50% of the total voting rights of the Company according to the list of shareholders invited to the meeting when deciding to convene the General Meeting.

Article 11. How the Congress is conducted

1. The General Meeting will in turn discuss and approve the contents stated in the Annual General Meeting of Shareholders.
2. The order of conducting the General Meeting is specified in detail and specifically in the Program of the Annual General Meeting of Shareholders.

Article 12. Approval of the Decision of the 2026 Annual General Meeting of Shareholders

1. Resolutions, Minutes and Reports of the General Meeting are only valid when there are 50% or more of the shareholders and representatives who own the total number of voting rights of all voting shareholders present in person or through authorized representatives present at the General Meeting of Shareholders for approval. Resolutions of the General Meeting of Shareholders related to the following contents shall be approved when 65% or more of the total voting shares of all shareholders attending the meeting approve:

- Types of shares and the total number of shares of each type;

- Change of business lines, trades and fields;
 - Change the organizational structure of the Company's management;
 - Projects on investment or sale of assets valued at 35% or more of the total value of assets are recorded in the company's latest financial statements, unless the company's charter stipulates other ratios or values;
 - Reorganization and dissolution of the Company.
2. Issues related to the procedures for organizing and administering the General Meeting of Shareholders: the decision of the Chairman of the General Meeting is the final decision and all shareholders attending must abide by it.

Article 13. Handling the case of unsuccessful organization of the General Meeting of Shareholders

1. In case within 30 minutes from the time of fixing the opening of the meeting, there is not enough necessary number of shareholders specified in Article 9 of this Regulation, within 30 days from the date of the first meeting, the second general meeting must be convened.
2. The second General Meeting of Shareholders shall be conducted when the number of shareholders attending the meeting represents 33% or more of the total number of votes. In case the second congress does not have the necessary number of delegates within 30 minutes from the time of fixing the opening of the meeting, within 20 days from the date of the second meeting, the third congress must be convened.
3. The Third General Meeting of Shareholders is conducted regardless of the total number of votes of the shareholders attending the meeting and has the right to decide on all issues of the Annual General Meeting of Shareholders.

Article 14. Minutes of the 2026 Annual General Meeting of Shareholders

All contents at the Annual General Meeting of Shareholders of Asia Pacific Securities Joint Stock Company must be made in minutes by the Secretary of the General Meeting. The minutes of the meeting are read and approved before the closing of the meeting and are kept in the company's minutes book.

**CHAPTER IV
MISCELLANEOUS PROVISIONS**

Article 15. Some other regulations

1. Shareholders attending the general meeting when wishing to express their opinions must obtain the consent of the Chairman of the General Meeting. Shareholders spoke briefly and focused on the key contents to be discussed, in accordance with the content of the approved agenda of the meeting. The Chairman of the General Meeting will arrange for shareholders to speak in the order of registration, and at the

same time answer questions of shareholders;

- Shareholders will be disqualified from attending the General Meeting of Shareholders by the Presidium of the General Meeting of Shareholders when they deliberately fail to comply with the provisions of the General Meeting, commit acts of disturbance, disorder or take actions that directly affect the operation of the General Meeting.

CHAPTER V IMPLEMENTATION TERMS

Article 16. Enforcement effect

This Regulation consists of 5 chapters and 16 articles, which are applied by the Organizing Committee of the General Meeting of Shareholders of Asia Pacific Securities Joint Stock Company to the Annual General Meeting of Shareholders of the Company in 2026 and take effect immediately after being approved by the General Meeting of Shareholders.

Recipient:

- *General Meeting of Shareholders;*
- *Archives, Board of Directors./.*

**ON BEHALF OF THE BOARD
CHAIRMAN**

Nguyen Doan Tung

**VOTING PRINCIPLES AND RULES
AT THE 2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS OF
ASIA PACIFIC SECURITIES JOINT STOCK COMPANY**

I. Voting principles

- In accordance with the provisions of the law and accurately.
- Shareholders, shareholders' representatives or authorized persons of shareholders exercise their voting rights at the 2026 Annual General Meeting of Shareholders of Asia Pacific Securities Joint Stock Company in the form of using "Voting Papers" and "Written Voting Votes".
- Each shareholder whose number of voting shares is calculated by the number of shares owned by the person and/or the authorized ownership representative
- "Voting Paper" and "Written Voting Sheet" are pre-printed according to the form of the Organizing Committee, stamped with the seal of Asia Pacific Securities Joint Stock Company.
- "Voting paper" and "Written voting votes" are only valid if they are valid as prescribed.
- The voting result is calculated as a percentage of the total number of votes of valid voting votes to the total number of voting rights of all shareholders attending the meeting.

II. Voting Rules

1. General

- Voting to approve the Reports, Reports, Minutes and Resolutions of the Annual General Meeting of Shareholders shall be conducted publicly and directly under the management of the Presiding Delegation of the General Meeting and only use the voting votes issued by the Organizing Committee of the General Meeting.
- Shareholders, representatives or authorized persons of shareholders (hereinafter referred to as shareholders) attending the General Meeting of Shareholders with voting rights will be issued 01 Voting Slip and 01 Voting Opinion Slip in writing to vote through the contents presented at the General Meeting.
- Classification of ballot papers and written ballot papers:
 - + Valid voucher: is a pre-printed voucher according to the form issued by the Organizing Committee of the General Meeting, stamped with the Company's seal, not torn, erased, scratched, repaired and marked / commented in accordance with the provisions on the voucher.
 - + Invalid voucher: means a voucher that is not in accordance with the provisions of the valid voucher.

2. How to vote

- For the contents of the report submitted at the General Meeting, the shareholders who

agree, disagree or have other opinions shall fill in the reply in the "Written Voting Opinion Sheet" of each content and submit it to the Vote Counting Committee at the General Meeting. In case the shareholder does not fill in the reply at the "Written Voting Opinion Sheet", that shareholder will be recorded as having no opinion.

- When voting to approve other contents at the General Meeting, Shareholders who agree, disagree or have no opinions, in turn raise the "Voting Sheet", the front of the Vote is facing the Chairman of the General Meeting.

3. Summing up the results

- After each vote by "Ballot", the Vote Counting Committee will announce the result of the number or percentage of votes.
- For the content of voting by "Written Voting Opinion Sheet", the voting results will be synthesized by the Counting Committee and notified of the results before approving the Minutes of the General Meeting of Shareholders.
- All complaints and questions related to voting will be resolved right at the Congress.
- The voting results must be recorded in the minutes of the General Meeting of Shareholders.

III. Approval of voting results

- Resolutions, Decisions and Reports of the General Meeting are only valid when there are 50% or more of the total number of voting rights of all shareholders with voting rights present in person or through authorized representatives present at the General Meeting of Shareholders for approval.
- For the Decisions of the General Meeting of Shareholders related to the type of shares and the total number of shares of each type; Change of business lines, trades and fields; Change the organizational structure of the Company's management; Projects on investment or sale of assets valued at 35% or more of the total value of assets recorded in the Company's latest financial statements; The reorganization and dissolution of the Company will only be approved when there are 65% or more of the total number of voting rights of all shareholders with voting rights present in person or through an authorized representative present at the General Meeting of Shareholders for approval.

IV. Effect

In principle, this voting rule takes effect as soon as it is approved at the 2026 Annual General Meeting of Shareholders of Asia Pacific Securities Joint Stock Company.

Recipient:

- *General Meeting of Shareholders;*
- *Archives, Board of Directors./.*

**ON BEHALF OF THE BOARD
CHAIRMAN**

Nguyen Doan Tung

REPORT OF THE BOARD OF GENERAL DIRECTORS

ASIA PACIFIC SECURITIES JOINT STOCK COMPANY

Dear: Shareholders of Asia Pacific Securities Joint Stock Company

The Board of Directors would like to report to the General Meeting of Shareholders on business activities in 2025 along with market analysis and orientation in 2026 as follows:

I. REPORT ON OPERATING RESULTS FOR 2025

1. General Assessment of the Market in 2025

The year 2025 marked a significant milestone as the Vietnamese stock market celebrated its 25th anniversary of establishment and development, while also setting multiple new records in both index levels and market liquidity, reflecting robust growth. By the end of 2025, the VN-Index closed at 1,784.49 points, representing an increase of over 41% compared to the beginning of the year. Market liquidity reached record highs, with the average trading value on the HOSE reaching approximately VND 23.6 trillion per session in December 2025, and surging further to over VND 42.2 trillion per session in early January 2026.

The year 2025 is also considered a pivotal period as Vietnam completed key criteria and moved closer to being officially upgraded by FTSE Russell from a Frontier Market to an Emerging Market. At the same time, the KRX trading system was officially launched and operated stably, laying the foundation for the development of new financial products and significantly improving the market's processing capacity and trading speed.

However, despite strong overall market growth, many securities companies recorded less favorable business performance due to increasingly intense competition and rapid shifts in competitive dynamics.

Securities companies affiliated with banks or backed by Fintech platforms continued to expand their market share, leveraging advantages in customer data and integrated financial ecosystems. Notably, TCBS reported record profits exceeding VND 7.1 trillion, while many traditional securities firms that have been slow in digital transformation have gradually lost brokerage market share.

Fee competition approaching zero commission, together with low-interest margin lending packages offered by well-capitalized competitors, has exerted significant pressure on small- and medium-sized securities companies. At the same time, the rapid development of digital wealth management platforms, online bond distribution channels, and capital flows shifting toward alternative investment channels have directly impacted revenues from asset management services.

Overall, the Vietnamese stock market in 2025 presents a “divergent” landscape: while the broader market experienced strong growth and technology-driven financial institutions continuously set profit records, many traditional securities companies faced the imperative of restructuring and digital transformation to adapt to the rapidly evolving behavior of a new generation of investors..

2. Business results in 2025

In 2025, APS made efforts to implement its business activities in line with the directions approved by the General Meeting of Shareholders; however, its business performance declined compared to 2024 and did not meet the planned targets. Specifically:

INDICATOR	2024	2025	Change (%)
OPERATING REVENUE	238.090.038.677	181.130.641.631	-23,92%
- Profit from financial assets recorded through profit (FVTPL)	210.937.890.625	155.175.440.165	-26,44%
- Interest income from investments held to maturity	2.088.479.449	3.430.956.154	64,28%
- Interest income from loans and receivables	15.979.072.380	12.272.418.996	-23,19%
- Brokerage revenue	6.883.367.027	8.483.076.013	23,24%
- Securities depository service revenue	755.774.651	708.750.303	-6,22%
- Financial advisory service revenue	1.445.454.545	1.060.000.000	-26,67%
FINANCIAL INCOME	1.093.214.120	811.130.261	-25,8%
OPERATING EXPENSES	225.637.665.643	201.564.403.221	-10,67%
- Loss from financial assets at fair value through profit or loss (FVTPL)	217.927.204.323	193.942.154.624	-11,01%
- Brokerage expenses	6.074.924.895	6.379.485.072	5,01%
- Financial advisory expenses	-	-	
- Securities depository service expenses	1.061.789.532	1.100.425.588	3,64%
- Other expenses	1.011.698	330.000	-99,97%
- Provision expenses for financial assets, write-off of doubtful receivables, impairment losses on financial assets, and borrowing costs related to lending activities	572.735.195	142.007.937	-75,21%
FINANCIAL EXPENSES	-104.486.795	554.234.300	

(Source: Audited Financial Statements for 2025)

Assessment of APS's Key Business Activities in 2025:

Brokerage Activities

Brokerage revenue increased slightly by 23.24% compared to 2024, with the average commission rate remaining stable. Despite investments in upgrading the information technology system, APS's market share did not experience significant growth in 2025.

In addition, APS consistently prioritizes strict compliance with legal regulations governing securities brokerage, as well as lending and margin trading activities. The capital adequacy ratio (as prescribed under Circular No. 91/2020/TT-BTC) has been maintained at a high level. As of December 31, 2025, the Company's capital adequacy ratio stood at 210.86%, classified within the "strong" category (>180%) in accordance with the State Securities Commission's regulations.

Proprietary Trading Activities

As of December 31, 2025, the total proprietary investment portfolio value reached VND 428.62

billion, a decrease of VND 53.17 billion compared to the beginning of the year (equivalent to a decline of 11.04%). APS recorded proprietary trading revenue of VND 155 billion, accounting for 85.6% of total revenue.

Although profitability was maintained, the return on investment has not been commensurate with the portfolio size and prevailing market conditions. Proprietary trading performance has yet to meet expected efficiency levels in terms of both optimization and the ability to capitalize on market opportunities.

Nevertheless, 2025 marked an important transition in portfolio management, as APS strengthened the application of quantitative analysis models, risk assessment tools, and real-time investment performance monitoring systems. These form a critical foundation for enhancing decision-making quality in future market cycles.

Financial Advisory Services

In 2025, APS's financial advisory activities continued to be affected by challenging market conditions, particularly tighter controls over corporate bond issuance and increased caution among issuers. These factors significantly impacted both the scale and execution timeline of transactions in the market.

Revenue from financial advisory services reached VND 1.06 billion, remaining modest relative to the growth potential of the investment banking segment.

In this context, APS continued to maintain and strengthen its long-standing client relationships while leveraging the expertise of its experienced financial advisory team. The Company has proactively sought new cooperation opportunities, expanded its partner network, and prepared resources to capture the anticipated recovery of the capital market in the coming period.

Securities Depository Services

In 2025, amid a more vibrant stock market and an increase in the number of companies distributing dividends, APS recorded a 28.4% increase in the number of depository-related events processed.

While depository services are not a major revenue-generating segment, they play a crucial role in maintaining service quality, strengthening client trust, and enhancing APS's brand reputation in the market.

Margin Lending

Outstanding margin loans and advances reached VND 173.8 billion, an increase of 6.74% compared to the beginning of the year, indicating the Company's tendency to expand lending activities in line with clients' demand for leverage under prevailing market conditions. However, the moderate growth reflects a prudently controlled credit policy.

Information Technology

The year 2025 marked a significant transformation in the technological infrastructure of Vietnam's stock market, with the KRX trading system being officially launched and operated synchronously across the market, ushering in a new phase of modernization and international standardization. In alignment with this roadmap, APS proactively invested in comprehensive upgrades to its information technology systems, including technical infrastructure, cybersecurity, and

trading platforms, ensuring connectivity, compatibility, and effective utilization of the KRX system's functionalities.

3. Assessment of the Management Board's Performance

3.1. Achievements

In 2025, the stock market operated amid a mix of growth opportunities and challenges, including geopolitical fluctuations and changes in regulatory policies. Under these conditions, APS proactively adapted, maintained operational stability, and gradually implemented strategic orientations for the next phase of development.

- **Business performance:** The Company recorded operating revenue of VND 181 billion. Core business segments were maintained in a stable manner, ensuring operational continuity. The year 2025 was identified as a pivotal period, marking the preparation phase for the deployment of a new trading system and the implementation of long-term strategies.
- **Acceleration of digital transformation and technology investment:** APS implemented key digital transformation initiatives in a synchronized manner, aiming toward a digital securities company model. Investment in and readiness for the operation of the KRX system represent an important step in enhancing technological capabilities, improving operational efficiency, thereby creating competitive advantages and supporting market share expansion in the next phase.
- **Enhancement of governance and service quality:** The Company continued to refine its internal policies and procedures toward greater professionalism and efficiency, while improving service quality, advisory activities, and customer care. These improvements have contributed to strengthening the Company's reputation and receiving positive feedback from clients.
- **Development of partnerships:** APS actively sought and expanded cooperation with potential partners in areas such as financial advisory, trading, and information technology, thereby enhancing its overall operational capacity.
- **Fulfillment of social responsibility:** The Company continued to maintain and effectively implement social and environmental initiatives, demonstrating its commitment to sustainable development and community responsibility.

Overall, 2025 was a period of consolidating foundations and preparing resources. On that basis, APS expects to leverage more favorable market conditions in 2026 to drive growth and achieve its strategic objectives..

3.2. Limitations and Shortcomings

Although the Company had anticipated the impact of market factors on its business operations, actual results still fell short of expectations. APS made considerable efforts in building its information technology systems and recruiting qualified, highly skilled personnel.

In 2025, the Company developed its trading system to align with the KRX system requirements of the State Securities Commission, receiving positive evaluations and effectively meeting clients' demand for fast and convenient trading.

However, brokerage revenue did not meet the planned targets due to competitive pressures from securities companies affiliated with banks or Fintech platforms, which benefit from advantages in customer data and integrated financial ecosystems, resulting in APS gradually losing brokerage

market share. At the same time, the rapid development of digital wealth management platforms, online bond distribution channels, and the shift of capital flows toward alternative investment channels have directly impacted the Company's asset management service revenues..

II. BUSINESS PLAN FOR 2026

1. Business plan for 2026

APS aims to become one of the leading financial institutions in Vietnam and the region within the next five years. Accordingly, the Company does not intend to remain a traditional securities firm, but rather to develop into a comprehensive financial platform, leveraging technology and data as the foundation to manage, optimize assets, and enhance investment value for clients.

To realize this vision, APS has established a long-term development roadmap with clearly defined strategic priorities, while proactively collaborating with financial experts and reputable advisory firms to provide in-depth analytical reports and high-quality investment recommendations, tailored to the increasingly diverse needs of investors.

2. Planned Targets for 2026

Indicator	Planned target of 2026
1. Total Revenue	
2. Total Expenses	
3. Profit/Loss from Operating Revenue and Expense	
4. Net Profit After Tax	

Above are the fundamental operational details of APS in 2025 and the plans for 2026. On behalf of APS's Executive Board, I am committed to doing my utmost to achieve the proposed goals

Sincerely./.

GENERAL DIRECTOR

Nguyễn Đức Quân

**REPORT OF THE BOARD OF DIRECTORS
ASIA PACIFIC SECURITIES JOINT STOCK COMPANY**

Dear: Shareholders of Asia Pacific Securities Joint Stock Company

The Board of Directors of the Company would like to report to the General Meeting of Shareholders on the operation of the Board of Directors in 2025, the results of supervision of the Executive Board and the orientation and development plan in 2026 as follows:

I. BOARD OF DIRECTORS' PERFORMANCE IN 2025

1. Composition of the Board of Directors

The Company's Board of Directors (BOD) consists of four (04) members, including one (01) independent member. There are three (03) non-executive members. The composition of the BOD is in full compliance with legal requirements applicable to public companies. The BOD members possess strong professional qualifications and extensive experience in the Company's core business areas..

The composition of the BOD in 2025 is as follows:

Mr. Nguyen Doan Tung – Chairman of the Board of Directors

Ms. Nguyen Do Hoang Lan – Independent Member of the Board of Directors

Mr. Vanfleteren Zamiel – Member of the Board of Directors

Mr. Nguyen Duc Quan – Member of the Board of Directors cum Chief Executive Officer

2. Remuneration, Operating Expenses, and Other Benefits of the Board of Directors and Its Members

Total actual remuneration of the Board of Directors in 2025 amounted to VND 415,678,907 (In words: Four hundred fifteen million six hundred seventy-eight thousand nine hundred and seven Vietnamese dong).

3. Meetings and Resolutions of the Board of Directors in 2025

In 2025, the Board of Directors held meetings both in person and through written resolutions to promptly review, discuss, and decide on matters within its authority. The organization of meetings and the collection of written opinions were conducted in accordance with applicable laws and the Company's Charter, ensuring legality, transparency, and enforceability.

All members of the Board of Directors attended meetings in full, actively reviewed materials, engaged in discussions, and exercised their voting rights with a high sense of responsibility, placing the Company's and shareholders' best interests as the top priority.

No	Number of NQ/QĐ	Date	Content
1	02/2025/APS/NQ-HĐQT	15/01/2025	Board Resolution on personnel changes: appointment of Ms. Dau Thi Thao as Person in Charge of Accounting
2	04/2025/APS/NQ-HĐQT	28/03/2025	Board Resolution on convening the 2025 Annual General Meeting of Shareholders
3	10/2025/APS/NQ-HĐQT	07/07/2025	Board Resolution on the dismissal of Ms. Nguyen Thu Huong from the position of Chief Accountant
4	12/2025/NQ-HĐQT	18/12/2025	Board Resolution on the appointment of Ms. Dau Thi Thao as Chief Accountant

4. Supervisory Activities of the Board of Directors

In 2025, the Board of Directors (BOD) effectively fulfilled its supervisory, strategic, and directive roles over the Executive Management in operating business activities, ensuring that the Company operated in line with its strategy, complied with legal regulations, and achieved its key objectives. Matters arising within the BOD's authority were thoroughly reviewed, discussed, and addressed in a timely manner in accordance with applicable regulations.

Key supervisory activities of the BOD included:

- Overseeing the implementation of resolutions of the General Meeting of Shareholders (GMS) and the BOD, ensuring that strategic decisions were executed effectively and consistently.
- Monitoring compliance with the Law on Enterprises, the Company's Charter, and internal governance regulations, thereby maintaining discipline, compliance, and transparency in management and operations.
- Supervising the preparation and submission of financial statements, annual reports, and information disclosure obligations, ensuring accuracy, integrity, and timeliness in accordance with requirements of regulators and shareholders.
- Directing and overseeing information disclosure activities to ensure transparency, completeness, and timeliness in compliance with regulations applicable to public companies.
- Monitoring and evaluating the implementation of the Company's business, financial, and investment plans, while providing timely recommendations and necessary adjustments to ensure operational efficiency and financial safety.
- Organizing periodic and ad hoc meetings to review reports from the Chief Executive Officer, the Supervisory Board, and relevant departments, thereby supporting more effective decision-making and oversight.

Through these supervisory activities, the BOD made a significant contribution to ensuring that the Company operated in a safe, transparent, efficient, and sustainable manner, thereby strengthening the confidence of shareholders, investors, and other stakeholders.

5. Other Key Activities of the Board of Directors in 2025

The BOD successfully organized the 2025 Annual General Meeting of Shareholders (AGM) in compliance with applicable laws and the Company's Charter, while also overseeing and directing information disclosure to ensure full, transparent, and timely compliance with regulatory requirements.

During the year, BOD members proactively organized multiple thematic meetings with relevant units to study, discuss, and propose key strategies and solutions for the BOD's consideration. These discussions focused on critical areas such as organizational restructuring, human resources, development and refinement of internal management regulations, financial strategy, development orientation for each core business segment, as well as risk mitigation measures arising during operations.

The BOD maintained close coordination with the Supervisory Board (SB), while ensuring the SB's independence in the process of reviewing and approving resolutions. The BOD duly invited the SB to attend meetings and provided full documentation and resolutions equivalent to those provided to BOD members, while also listening to, respecting, and incorporating the SB's opinions during meetings and written consultations.

6. Implementation Results of the 2025 Annual General Meeting of Shareholders' Resolutions

The BOD directed and supervised the Board of Management in implementing the tasks approved

by the 2025 Annual General Meeting of Shareholders. The implementation results are as follows:

6.1 Thực hiện kế hoạch kinh doanh năm 2025

Indicators	2024	2025
Operating revenue	238.090.038.677	181.130.641.631
Operating expenses from securities business activities	225.637.665.643	201.564.403.221
Financial income	1.093.214.120	811.130.261
Financial expenses	554.234.300	-104.486.795
General and administrative expenses of the securities company	-8.023.798.423	19.670.286.883
Operating results	21.015.151.278	-39.188.431.417
Other income and other expenses	-516.406.383	-538.497.210
Profit before tax	20.498.744.895	-39.726.928.627
- <i>Realized profit</i>	33.401.858.895	610.388.694
- <i>Unrealized profit</i>	-12.903.114.000	-40.337.317.321
Corporate income tax expense	-1.284.096.621	-8.067.463.464
Realized profit after tax	21.782.841.516	-31.659.465.163

(Source: Audited Financial Statements for 2025)

In 2025, the Company's business performance recorded a decline compared to the previous year. The primary causes stemmed from objective market factors, as the stock market was significantly affected by macroeconomic fluctuations and international geopolitical developments, which impacted investor sentiment, market liquidity, and the effectiveness of business segments within the securities industry.

In addition, during 2025, the Company proactively allocated resources to invest in, upgrade, and enhance its information technology infrastructure, considering it a strategic foundation for the next stage of development. This short-term focus on investment increased expenses and had a certain impact on profit performance for the period; however, it represents a necessary step to improve operational capacity, service quality, and the Company's competitiveness.

The Board of Directors assesses that 2025 was a period of strengthening internal capabilities and establishing a foundation for sustainable development. With a fully upgraded and synchronized technology system, the Company will be well-positioned to expand market share and drive growth in key business areas such as securities brokerage, financial advisory, proprietary trading, and value-added services in the coming period.

6.2 Implementation of Other Matters Approved by the General Meeting of Shareholders

Pursuant to Proposal No. 06/2025/TTr-HĐQT-APS regarding the withdrawal from the securities underwriting business, which was approved by the 2025 Annual General Meeting of Shareholders, the Board of Directors has organized the implementation and directed the execution of related tasks. However, during the review and reassessment process, the Company has determined that this matter is not of immediate urgency at present, while priority should be given to other key and urgent tasks to support business operations and the Company's overall development orientation.

At the 2026 Annual General Meeting of Shareholders, the Board of Directors respectfully submits for consideration and approval the continued implementation of the withdrawal from the securities underwriting business. Upon approval by the General Meeting of Shareholders, the Board of Directors will promptly include this matter in its work plan and continue to direct the Executive Management to finalize the remaining procedures and implement them in compliance with applicable laws, ensuring alignment with the Company's development strategy and operational structure in the new phase.

With respect to other duties and authorities assigned by the General Meeting of Shareholders, the Board of Directors has fully implemented them in compliance with applicable laws, the Company's Charter, internal corporate governance regulations, and resolutions of the General Meeting of Shareholders. Governance, supervisory, and executive oversight activities were carried out on a regular basis, contributing to ensuring the Company's operations remained stable, transparent, and efficient in 2025.

II. ORIENTATION FOR 2026

Entering 2026, in the context of 2025 business results falling short of expectations and the stock market continuing to be affected by global economic volatility, monetary policy, geopolitical factors, and cautious investor sentiment, the Board of Directors identifies 2026 as a period of renewed growth, enhanced operational efficiency, and strengthened competitiveness. The strategic focus is outlined as follows:

1. Overall Development Strategy

The Board of Directors will focus on directing the Executive Management to implement a comprehensive restructuring plan, improve governance and management capacity, and continue investing in technology to realize the goal of transforming APS into a highly competitive digital securities company in Vietnam.

Alongside growth objectives, the Company prioritizes building a lean and efficient operating model, placing customers at the center and technology as the foundation for long-term development.

2. Strengthening Organizational Structure and Corporate Governance

The Board of Directors directs the Executive Management to continue reviewing and streamlining the organizational structure, and to build a workforce with strong professional capabilities, a high sense of responsibility, and long-term commitment to the Company. Key priorities include:

- Recruiting and strengthening high-quality personnel for management, business, technology, and risk management positions;
- Enhancing remuneration policies, performance evaluation systems, and career development pathways for employees;
- Promoting a culture of discipline, accountability, and performance;
- Improving corporate governance quality, enhancing transparency, and ensuring compliance with legal regulations.

3. Technology Development and Digital Transformation

In 2026, APS will continue to accelerate technology investment, leveraging the foundation established in 2025 to improve operational efficiency and customer experience. Key initiatives include:

- Completing and optimizing the trading system, ensuring effective operation with the KRX system;

- Developing online trading platforms, digital applications, and smart investment utilities;
- Automating operational processes, data management, and customer care;
- Strengthening information security, system protection, and business continuity capabilities.

These initiatives will serve as a key driver for expanding the customer base and increasing market share in the coming period.

4. Development of Business Activities and Sustainable Revenue Streams

Amid ongoing market volatility, the Company adopts a selective growth strategy, prioritizing efficiency and risk control. Key business segments include:

- Securities brokerage: enhancing service quality, expanding both individual and institutional client bases, and increasing brokerage market share;
- Treasury operations and margin lending: developing within controlled limits, ensuring asset quality and cash flow safety;
- Corporate financial advisory: promoting services in issuance advisory, capital restructuring, M&A, and investment banking;
- Proprietary trading: maintaining a flexible portfolio structure, closely tracking market movements, and prioritizing risk management.

In addition, the Company will continue to explore opportunities to develop new business lines such as derivatives, corporate bonds, and other investment products in compliance with legal regulations and market demand.

5. Risk Management and Quality Control

Given the unpredictable developments of both domestic and international markets, the Board of Directors identifies risk management as a core priority in 2026. Accordingly, the Company will:

- Closely monitor macroeconomic developments and financial markets domestically and internationally to proactively develop response scenarios;
- Strengthen the role of the Supervisory Board, internal control functions, and independent lines of defense;
- Enhance oversight of the Executive Management, ensuring strict control over the implementation of business plans and financial targets;
- Improve early warning systems for market, liquidity, credit, and operational risks;
- Standardize operational procedures, minimize transaction errors, and improve service quality.

6. Expectations for 2026

With the technology foundation already established, a prudent governance orientation, and a clear renewed growth plan, the Board of Directors expects that 2026 will be a year in which APS gradually improves operational efficiency, restores business performance, expands market share, and strengthens its competitive position in the securities market.

III. Kết luận

The above presents the report on the Board of Directors' performance in 2025 and the business plan for 2026. The Board of Directors is confident that, with the efforts and determination of its members, the Company will successfully fulfill the business plan entrusted by the shareholders.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval..

Sincerely./.

**ON BEHALF OF THE BOARD
CHAIRMAN**

Nguyễn Đoàn Tùng

**REPORT OF THE INDEPENDENT MEMBER
OF THE BOARD OF DIRECTORS**

Kính gửi: The 2026 Annual General Meeting of Shareholders

1. List of Independent Members of the Board of Directors

- In 2025, the Company's Board of Directors comprised four (04) members, including one (01) Independent Member of the Board of Directors, Ms. Nguyen Do Hoang Lan.
- Asia-Pacific Investment Joint Stock Company (API) has complied with the requirements regarding the number of Independent Members of the Board of Directors in accordance with applicable laws and the Company's Charter.

2. Assessment of the Performance of the Board of Directors

a. Organization of Meetings of the Board of Directors

- Meetings of the Board of Directors were duly convened and conducted in accordance with a defined schedule. Meeting materials were fully prepared and complied with established principles. Members of the Board of Directors attended and actively participated in all meetings. Matters discussed at the meetings were thoroughly deliberated, reviewed, and carefully assessed by the Board members in order to determine the most appropriate directions and solutions for the Company.
- Issues relating to business strategy, financial strategy, corporate culture, and the development of management systems were all subject to close discussion and oversight between the Board of Directors and the Board of Management. The Company's operations were implemented in accordance with a clear delegation of authority framework. Risks were promptly identified and analyzed to ensure that the Company operates in a stable, safe, and compliant manner with applicable laws, while achieving the plans approved by the General Meeting of Shareholders.

b. Supervision of the Board of Management

- Overall, the Board of Directors has effectively performed its supervisory function over the Board of Management, ensuring that the Company's operations are closely monitored, aligned with strategic orientations, and that decisions are promptly adjusted when required by practical circumstances.
- Members of the Board of Directors who concurrently hold positions within the Board of Management have regularly attended both periodic and ad hoc meetings of the Board of Management. Significant decisions of the Company have been reported, consulted on, and guided by the Board of Directors/the Chairman of the Board of Directors to ensure optimal solutions in the best interests of the Company. Accordingly, the Board of Directors has maintained a comprehensive understanding of the Company's operations and has been promptly updated on practical requirements.
- Members of the Board of Directors have proactively recognized their roles and responsibilities in supporting the Board of Management, and have shared experience, as well as maintained regular interaction and communication with the Board of Management.
- The Board of Directors has placed particular emphasis on risk management solutions, with a view to supporting the Board of Management in early identification of issues, thereby enabling

the Company to maintain sustainability and stability in its operations and to safeguard the interests of shareholders and investors.

c. Activities of Members of the Board of Directors

- Members of the Board of Directors have duly performed their rights and obligations in accordance with applicable laws, the Company's Charter, the Regulations on the Operation of the Board of Directors, and other relevant regulations. They have demonstrated cooperation, a strong sense of responsibility, proper discharge of their duties, and fulfillment of tasks as assigned by the Board of Directors.

d. Overall Assessment of the Performance of the Board of Directors

- In line with the Board of Directors' operating plan, activities carried out in 2025 were implemented in accordance with the resolutions of the General Meeting of Shareholders and in compliance with the Company's Charter, corporate governance regulations, and accepted governance best practices.
- The Board of Directors has respected and facilitated the Supervisory Board in exercising its authority to examine the legality and reasonableness of management and administration activities; and has seriously received and rectified deficiencies and shortcomings in management in accordance with the conclusions of the Supervisory Board.
- The Board of Directors has proposed various timely and effective solutions to support the Board of Management in overcoming certain challenges during the Company's operations, and has effectively supervised and controlled the Company's compliance with applicable laws.
- In 2025, the Board of Directors successfully fulfilled its functions, duties, powers, and responsibilities in accordance with applicable laws, the Company's Charter, and the Regulations on the Operation of the Board of Directors. It has operated with a high level of responsibility, prudence, integrity, and transparency in corporate governance as a listed company. The Board of Directors has also created favorable conditions for Independent Members of the Board of Directors to fully exercise their rights and perform their obligations.

**Independent Member
of the Board of Directors**

Nguyễn Đỗ Hoàng Lan

**REPORT OF THE SUPERVISORY BOARD
ASIA PACIFIC SECURITIES JOINT STOCK COMPANY**

Dear: Shareholders of Asia Pacific Securities Joint Stock Company

The Supervisory Board presents its report to the Annual General Meeting of Shareholders 2026 on the performance results of 2025 and the plan for 2026 as follows:

I. Remuneration, operating expenses and other benefits of the Supervisory Board and each member of the Supervisory Board

The current APS Supervisory Board consists of 03 members, including:

Ms. Nguyen Phuong Dung - Head of the Department

Mr. Nguyen Quang Hoc - Member

Ms. Hoang Thi Huyen - Member

The total actual remuneration of the Supervisory Board in 2025 is: VND 91.000.000.000
(Ninety one million VND)

II. Meetings of the Supervisory Board and conclusions and recommendations of the Supervisory Board

In 2025, the Supervisory Board has conducted 03 meetings. Meetings are carried out in accordance with the order and procedures specified in the Law on Enterprises, the Law on Securities, the Company's Charter and internal regulations, regulations of the Supervisory Board, and supervision of the Company's operation and financial situation.

STT	Số văn bản	Nội dung họp
1	01/2025/APECS-BKS dated 15/04/2025	Activities of the Supervisory Board in 2025
2	08a/2025/APECS-BKS dated 30/06/2025	Activities of the Supervisory Board in the Quarter 1 of 2025
3	09/2025/APECS-BKS dated 10/09/2025	Activities of the Supervisory Board in the first 6 months of 2025

Based on the authority and responsibilities of the Supervisory Board as stipulated in Article 170 of the Enterprise Law No. 59/2020/QH14 and the Company's Charter, the Supervisory Board has carried out the following tasks:

- Considering and evaluating the activities of the Board of Directors, the Board of Directors and the Company's management system throughout the year related to the implementation and plan of the Company in 2025 approved by the General Meeting of Shareholders;
- Supervise the fulfillment of obligations and information disclosure of enterprises in accordance with law (including: tax obligations, social insurance, health insurance and other financial obligations);
- Check information related to cash flow, important contracts of the Company;
- Examining the reasonableness and legality, honesty and prudence in the organization of accounting work, reviewing quarterly financial statements, reviewed semi-annual financial statements and reports

- the Company's annual financial statements in 2025 are audited by UHY Auditing and Consulting Co., Ltd.;
- Appraisal of business results reports, financial statements, analysis and assessment of financial situation, operational efficiency, ability to preserve and develop capital;
- Consider and examine the process of accounting and circulation of accounting vouchers;
- Supervise the inspection of the Company's investments.

III. Results of monitoring the company's operations and finances

1. Regarding the administration of the Board of Directors and the Board of Directors

In 2025, the Board of Directors (BOD) maintained regular and ad hoc meetings to discuss, analyze, and align on key strategic directions for the Company. On that basis, the BOD issued timely resolutions and directives, enabling departments and units to effectively implement the 2025 business plan while ensuring strict compliance with applicable laws, particularly the Law on Enterprises and the Law on Securities. The BOD's decisions consistently aimed at sustainable development, transparency, and alignment with the resolutions of the General Meeting of Shareholders.

The BOD worked closely with the Board of Management in operational oversight, proactively identifying and addressing challenges arising amid market volatility. This coordinated and flexible collaboration between the two governance levels contributed to maintaining stable operations while opening up new investment and development directions for the Company.

On the part of the Board of Management, its members diligently implemented the BOD's resolutions, while strengthening internal controls and enforcing prudent financial management to ensure efficient use of resources and prevent any material losses or risks. As a result, the Company maintained a solid financial foundation, providing a strong platform for the next phase of growth.

2. About the Company's operation

Business Performance

The Company's net operating revenue for the year reached VND 181 billion, representing a decrease of 23.9% compared to 2024. This decline was primarily attributable to a 26.44% reduction in proprietary trading revenue, which decreased from VND 211 billion to VND 155 billion.

Financial Position

Based on the 2025 audited financial statements reviewed by UHY Auditing and Consulting Co., Ltd., the Supervisory Board confirms that the reported figures fairly and accurately reflect the Company's financial position, cash flows, and business performance.

The Company's financial statements have been prepared in accordance with prevailing Vietnamese Accounting Standards and regulations. Accounting records and documentation systems are relatively clear, with proper bookkeeping in compliance with applicable accounting regimes. Periodic inventory checks of the Company's assets revealed no shortages or losses, and asset quantities were consistent with accounting records.

3. Report on Related Party Transactions

Transactions with related persons were conducted in compliance with the Law on Enterprises, the Law on Securities, the Company's Charter, and applicable regulations on information disclosure under relevant laws, decrees, and circulars.

4. Assessment of Coordination between the Supervisory Board, the Board of Directors, the Chief Executive Officer, and Shareholders

Coordination between the Supervisory Board, the Board of Directors, the Board of Management, and shareholders has been effective, ensuring independence and continuity. Notably:

- On a monthly and quarterly basis, the Supervisory Board held formal working sessions with relevant departments, reported to the Board of Directors and the Chief Executive Officer, and required functional departments to prepare data and documentation at least one week in advance. In cases of potential violations of regulations or the Company's Charter, the Supervisory Board promptly conducted reviews and reported to the General Meeting of Shareholders.
- The Supervisory Board was provided with full and timely access to documents and information regarding management, operations, and business activities in accordance with regulations.
- The Supervisory Board regularly exchanged information with members of the Board of Directors and the Board of Management on governance and operational matters, and participated in Board of Directors' meetings.
- The Supervisory Board continuously monitored changes in the list of major shareholders.
- Recommendations made by the Supervisory Board to the Board of Directors and the Board of Management were duly considered, implemented, and responded to in a timely manner.

5. Recommendations of the Supervisory Board

In 2025, the Board of Directors fully discharged its responsibilities in managing, supervising, and directing the Company's operations in compliance with the Law on Enterprises, the Law on Securities, the Company's Charter, and resolutions of the General Meeting of Shareholders. The Board operated in a transparent and prudent manner, consistently prioritizing sustainable development.

To further strengthen its position and improve operational efficiency in the coming period, the Board of Directors should focus on developing medium- and long-term strategies, while accelerating the restructuring of the organizational structure, financial model, and investment portfolio to adapt flexibly to market fluctuations and achieve sustainable growth.

The Company should continue to refine its innovation and restructuring processes, with particular emphasis on developing corporate financial advisory services, issuance advisory, and M&A, while enhancing the efficiency of proprietary trading activities to optimize returns, strengthen risk management, and maintain a solid competitive position within the financial services industry.

IV. Conclude

The Company's operations over the past year were conducted in compliance with the Law on Enterprises, the Law on Securities, the Company's Charter, and relevant regulations, and were duly aligned with the resolutions of the General Meeting of Shareholders and the Board of Directors. The Company's information disclosure activities also complied with applicable regulations for listed companies.

ON BEHALF OF THE SUPERVISORY BOARD
HEAD

Nguyễn Phương Dung

PROPOSAL 01

Re: Approval of the audited financial statements for 2025

To: 2026 Annual General Meeting of Shareholders

- Pursuant to the Consolidated Audit Report No. 265/UHY-BCKT dated 30/03/2026, audited by UHY Auditing and Consulting Co., Ltd.

Implementing the authorization in the Resolution of the 2025 Annual General Meeting of Shareholders, the Board of Directors has selected UHY Auditing and Consulting Co., Ltd. to perform the audit of the 2025 Financial Statements. In particular, some basic financial indicators are as follows:

No	Indicators	Value in 2025
1	Total assets	777.487.009.136
2	Liabilities	6.099.933.862
3	Equity	771.387.075.274
4	Total operating revenue	181.130.641.631
4.1	<i>Profits from financial assets are recognized through profit/loss</i>	155.175.440.165
4.2	<i>Interest on investments held to maturity</i>	3.430.956.154
4.3	<i>Interest on loans and receivables</i>	12.272.418.996
4.4	<i>Revenue from securities brokerage operations</i>	8.483.076.013
4.5	<i>Securities depository revenue</i>	708.750.303
4.6	<i>Revenue from financial advisory activities</i>	1.060.000.000
5	Business Operating Expenses	201.564.403.221
6	Revenue from financial activities	811.130.261
7	Management expenses of securities companies	19.670.286.883
8	Total profit before tax	-39.726.928.627
9	Profit after tax	-31.659.465.163

*Submit to the General Meeting of Shareholders for consideration and approval.
Sincerely./.*

**ON BEHALF OF THE BOARD
CHAIRMAN**

Nguyen Doan Tung



PROPOSAL 02

Re: Approval of the 2026 business plan

To: 2026 Annual General Meeting of Shareholders

- *Pursuant to the Law on Enterprises No. 59/2020/QH14;*
- *Pursuant to the Law on Securities No. 54/2019/QH14;*
- *Pursuant to the Charter of organization and operation of Asia Pacific Securities Joint Stock Company*

The Board of Directors of Asia Pacific Securities Joint Stock Company respectfully submits to the general meeting the business plan for 2026 as follows:

Production and business plan in 2026:

Indicators	Plan 2026
1. Total Revenue	
2. Total Costs	
3. Profit before tax	
4. Profit after tax	

Submit to the General Meeting of Shareholders for consideration and approval.

Sincerely./.

**ON BEHALF OF THE BOARD
CHAIRMAN**

Nguyen Doan Tung

PROPOSAL 03

Re: Selection of auditing unit/review of the Company's financial statements in 2026

To: 2026 Annual General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14;
- Pursuant to the Law on Securities No. 54/2019/QH14;
- Pursuant to the Charter of organization and operation of Asia Pacific Securities Joint Stock Company.

The Board of Directors respectfully submits to the General Meeting of Shareholders for approval the Plan to select an independent audit firm to audit/review the Company's 2026 financial statements as follows:

1. Selection of auditing firms operating legally in Vietnam, in the List of auditing firms eligible to provide audit services in 2026 and approved by the State Securities Commission for auditing for listed companies; have a reputation for audit quality; have an audit fee in accordance with the quality and scope of the audit.
2. The General Meeting of Shareholders authorizes the Board of Directors of the Company to decide on the selection of an independent audit firm in the above List to carry out the audit/review of the 2026 Financial Statements.

*Submit to the General Meeting of Shareholders for consideration and approval.
Sincerely./.*

**ON BEHALF OF THE BOARD
CHAIRMAN**

Nguyen Doan Tung

PROPOSAL 04

Re: Approval of the remuneration of the Board of Directors and the Supervisory Board

To: 2026 Annual General Meeting of Shareholders

- Pursuant to the provisions of law and the Charter of Asia Pacific Securities Joint Stock Company.

The Board of Directors and the Supervisory Board shall report to the General Meeting of Shareholders on the payment of remuneration of the Board of Directors and the Supervisory Board in 2025 and the remuneration plan of the Board of Directors and the Supervisory Board in 2026. Specifically, as follows:

1. Remuneration paid to the Board of Directors and the Supervisory Board in 2025

The total actual remuneration of the Board of Directors and the Supervisory Board in 2025 is: VND 506.678.907, equal to 50,67% of the expected remuneration plan for 2025 (approved by the 2025 General Meeting of Shareholders).

2. Approving the plan to pay salaries and remuneration to the Board of Directors and the Supervisory Board in 2026:

Based on the expected plan for 2026, the remuneration payment for the Board of Directors and the Supervisory Board in 2026 is submitted to the General Meeting of Shareholders for approval: VND 1.000.000.000 (*One billion VND*).

The Board of Directors and the Supervisory Board propose to assign the Chairman of the Board of Directors to decide on the specific remuneration for the members of the Board of Directors and the Head of the Supervisory Board to decide on the specific remuneration for the members of the Supervisory Board within the total remuneration mentioned above.

*Submit to the General Meeting of Shareholders for consideration and approval.
Sincerely./.*

**ON BEHALF OF THE BOARD
CHAIRMAN**

Nguyen Doan Tung

PROPOSAL 05

Re: Approval of the continued implementation of the withdrawal from the securities underwriting business

To: 2026 Annual General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14;
- Pursuant to the Law on Securities No. 54/2019/QH14;
- Pursuant to Decree 155/2020/ND-CP dated December 31, 2020 detailing the implementation of a number of articles of the Law on Securities;
- Pursuant to the Charter of organization and operation of Asia Pacific Securities Joint Stock Company

The 2025 Annual General Meeting of Shareholders approved the policy to withdraw from the securities underwriting business. The Board of Directors instructed the Board of Management to review and prepare the relevant matters for implementation. However, in 2025, as the Company prioritized resources for other key tasks, the implementation was not completed as planned.

In 2026, the stock market is expected to continue to be affected by international geopolitical uncertainties and global economic volatility, which may impact issuance demand and the effectiveness of underwriting activities.

Based on the assessment of operational efficiency and the Company's development orientation, the Board of Directors considers that continuing the withdrawal from this business line is appropriate in order to concentrate resources on core business segments, optimize costs, and improve overall operational efficiency..

Therefore, the Board of Directors respectfully submits to the 2026 Annual General Meeting of Shareholders for approval of the following contents:

1. Through the withdrawal of the Company's underwriting operation
2. To approve the plan to withdraw the underwriting operation, including but not limited to the following:
 - Submit dossiers and reports related to the withdrawal of underwriting operations to the State Securities Commission;
 - Make a plan to handle customer accounts
3. Time to submit the dossier: Quarter III – IV of 2026, after being approved by the General Meeting of Shareholders
4. The General Meeting of Shareholders authorizes and assigns the Board of Directors and the General Director of the Company to perform tasks related to the withdrawal of underwriting.

Submit to the General Meeting of Shareholders for consideration and approval.

Sincerely./.

**ON BEHALF OF THE BOARD
CHAIRMAN**

ASIA PACIFIC SECURITIES
JOINT STOCK COMPANY

Nguyen Doan Tung
SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

PROPOSAL 06

Authorizing the Board of Directors to decide on a number of issues under the competence of the General Meeting of Shareholders

To: 2026 Annual General Meeting of Shareholders

- *Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020;*
- *Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019; Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Law on Securities;*
- *Pursuant to the Charter of Organization and Operation of Asia Pacific Securities Joint Stock Company.*

The Board of Directors respectfully submits to the General Meeting of Shareholders for approval the authorization of the Board of Directors to decide on a number of issues under the competence of the General Meeting of Shareholders with the following contents:

- Authorize the Board of Directors to decide on the allocation of approved salary and remuneration funds to each member of the Board of Directors and the Supervisory Board.
- Authorizing the Board of Directors to receive and grant loans or guarantees to members of the Board of Directors, Controllers, General Directors, other managers and relevant individuals and organizations of these subjects; At the same time, authorize the Board of Directors to approve the transactions specified in Clause 4, Article 293 of the Government's Decree No. 155/2020/ND-CP dated December 31, 2020 detailing the implementation of a number of articles of the Law on Securities.

Submit to the General Meeting of Shareholders for consideration and approval.

Sincerely./.

ON BEHALF OF THE BOARD
CHAIRMAN

Nguyen Doan Tung

**RESOLUTION
THE 2025 ANNUAL GENERAL MEETING OF SHAREHOLDERS
ASIA PACIFIC SECURITIES JOINT STOCK COMPANY**

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019;
- Pursuant to the Charter on Organization and Operation of Asia Pacific Securities Joint Stock Company;
- Pursuant to the Minutes of Meeting No./2026/BB-DHĐCĐ dated June ..., 2026, of Asia Pacific Securities Joint Stock Company.

RESOLVES

Article 1. Approval of the Report on the activities of the Board of Management in 2025

Article 2. Approval of the Report on the activities of the Board of Directors in 2025

Article 3. Approval of the Report on the activities of the Independence member of the Board of Directors in 2025

Article 4. Approval of the Report on the activities of the Supervisory Board

Article 5. Approval of the Company's audited financial statements for 2025

Implementing the authorization in the Resolution of the 2025 Annual General Meeting of Shareholders, the Board of Directors has selected and approved the audited financial statements for 2025 performed by UHY Auditing and Consulting Company Limited to conduct the audit of the 2025 Financial Statements. In which, some basic financial indicators are as follows:

STT	Indicator	Value in 2025
1	Total assets	777.487.009.136
2	Total liabilities	6.099.933.862
3	Equity	771.387.075.274
4	Total operating revenue	181.130.641.631
4.1	<i>Profit from financial assets recognized through profit or loss</i>	155.175.440.165
4.2	<i>Profit from investments held to maturity</i>	3.430.956.154
4.3	<i>Profit from loans and receivables</i>	12.272.418.996
4.4	<i>Revenue from securities brokerage</i>	8.483.076.013
4.5	<i>Revenue from securities depository</i>	708.750.303
4.6	<i>Revenue from financial advisory activities</i>	1.060.000.000
5	Business operating expenses	201.564.403.221
6	Financial revenue	811.130.261
7	Securities company management expenses	19.670.286.883
8	Total profit before tax	-39.726.928.627

9	Profit after tax	-31.659.465.163
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Article 6. Approval of the business plan for 2026

The Board of Directors of Asia Pacific Securities Joint Stock Company respectfully submits to the general meeting the business plan for 2026 as follows:

Production and business plan in 2026:

Indicators	Plan 2026
1. Total Revenue	
2. Total Costs	
3. Profit before tax	
4. Profit after tax	

Article 7. Approval of the selection of an auditing/reviewing unit for the 2025 financial statements

The Board of Directors respectfully submits to the General Meeting of Shareholders for approval the Plan to select an independent audit firm to audit/review the Company's 2026 financial statements as follows:

1. Selection of auditing firms operating legally in Vietnam, in the List of auditing firms eligible to provide audit services in 2026 and approved by the State Securities Commission for auditing for listed companies; have a reputation for audit quality; have an audit fee in accordance with the quality and scope of the audit.
2. The General Meeting of Shareholders authorizes the Board of Directors of the Company to decide on the selection of an independent audit firm in the above List to carry out the audit/review of the 2026 Financial Statements.

Article 8. Approval of the remuneration for the Board of Directors and the Supervisory Board

1. Remuneration paid to the Board of Directors and the Supervisory Board in 2025

The total actual remuneration of the Board of Directors and the Supervisory Board in 2025 is: VND 506.678.907, equal to 50,67% of the expected remuneration plan for 2025 (approved by the 2025 General Meeting of Shareholders).

2. Approving the plan to pay salaries and remuneration to the Board of Directors and the Supervisory Board in 2026:

Based on the expected plan for 2026, the remuneration payment for the Board of Directors and the Supervisory Board in 2026 is submitted to the General Meeting of Shareholders for approval: VND 1.000.000.000 (*One billion VND*).

The Board of Directors and the Supervisory Board propose to assign the Chairman of the Board of Directors to decide on the specific remuneration for the members of the Board of Directors and the Head of the Supervisory Board to decide on the specific remuneration for the members of the Supervisory Board within the total remuneration mentioned above.

Articles 9. Approval of the continued implementation of the withdrawal from the securities underwriting business

The Board of Directors respectfully submits to the 2025 Annual General Meeting of Shareholders

for approval of the following contents:

Through the withdrawal of the Company's underwriting operation

To approve the plan to withdraw the underwriting operation, including but not limited to the following:

- Submit dossiers and reports related to the withdrawal of underwriting operations to the State Securities Commission;
- Make a plan to handle customer accounts

Time to submit the dossier: Quarter III – IV of 2026, after being approved by the General Meeting of Shareholders

The General Meeting of Shareholders authorizes and assigns the Board of Directors and the General Director of the Company to perform tasks related to the withdrawal of underwriting.

Article 10. Authorizing the Board of Directors to decide on a number of issues under the authority of the GMS

Authorizing the Board of Directors to decide on the allocation of salary and remuneration funds that have been approved for each member of the Board of Directors and the Supervisory Board.

Authorizing the Board of Directors to receive and grant loans or guarantees to members of the Board of Directors, Controllers, General Directors, other managers and related individuals and organizations of these subjects; At the same time, authorizing the Board of Directors to approve the transactions specified in Clause 4, Article 293 of Decree No. 155/2020/ND-CP dated December 31, 2020 of the Government detailing the implementation of a number of articles of the Securities Law.

Article 11. Effectiveness

This Resolution takes effect from the date of signing.

Members of the Board of Directors, Supervisory Board, and Board of General Directors of the company, based on their functions, duties, and powers, are responsible for implementing the contents of this resolution.

Recipient:

- *GMS;*
- *SSC, HNX, HSX;*
- *Members of BOD, BOS;*
- *Archives.*

**ON BEHALF OF THE GENERAL
MEETING OF SHAREHOLDERS
CHAIRPERSON**

Nguyen Doan Tung



