

No: 101/2025/CV-APS

Ha Noi, October 16<sup>th</sup> 2025

**Periodic information disclosure - Financial report**

To: - Vietnam Stock Exchange;  
- Hanoi Stock Exchange;  
- Ho Chi Minh Stock Exchange;  
- State Securities Commission of Vietnam.

In accordance with the provisions of Clause 3, Article 14 of Circular No. 96/2020/TT-BTC dated November 16, 2020, of the Ministry of Finance guiding information disclosure on the securities market, Asia-Pacific Securities Joint Stock Company (APS) hereby discloses the financial report (Financial Report) for Q1/2025 to the State Securities Commission and the stock exchanges as follows:

1. Name of the organization: Asia Pacific Securities Joint Stock Company
  - Stock code: APS
  - Address: 3rd floor, Grand Plaza building, 117 Tran Duy Hung, Yen Hoa Ward, Hanoi City.
  - Tel: 024.3573.0200

2. Explanation content

- Financial Statements for the Quarter III of 2025

Separate financial statements (the parent company does not have subsidiaries and the parent company's accounting unit does not have affiliated entities);

Consolidated financial statements (the parent company has subsidiaries);

Combined financial statements (the parent company has an accounting unit directly under its organizational structure with separate accounting systems).

- Cases that require an explanation of the reasons:

+ The auditing organization provides a non-unqualified opinion on the financial statements (for the audited financial statements of 2025):

Yes No

The explanation letter in cases of inclusion is as follows:

Yes No

+ The net profit after tax in the reporting period shows a difference of 5% or more before and after the audit, reversing from a loss to a profit or vice versa (for the audited financial statements of 2025):

Yes No

The explanation letter in cases of inclusion is as follows:

Yes No

+ The net profit after corporate income tax in the income statement for the reporting period changes by 10% or more compared to the same period of the previous year:

Yes No

The explanation letter in cases of inclusion is as follows:

Yes No

+ The net profit after tax in the reporting period shows a loss, reversing from a profit in the same period of the previous year to a loss in this period, or vice versa:



Yes

No



The explanation letter in cases of inclusion is as follows:

Yes

No



This information has been published on the company's website on October, <sup>17<sup>th</sup></sup> 2025 at the link [www.apec.com.vn](http://www.apec.com.vn)

3. Report on transactions with a value of 35% or more of total assets in 2025. In the event that the parent company has such transactions, please report all of the following details:

- Transaction content: No incurrence
- Proportion of transaction value/total asset value of the company (%) (based on the most recent financial statements): No incurrence
- Transaction completion date: No incurrence

We hereby commit that the information disclosed above is true and accurate, and we fully take responsibility before the law for the content of the disclosed information..

**Attached documents:**

- Financial report
- Explanation letter

**Representative of the organization**

*Legal representative/Authorized person for information disclosure*

*(Signature, full name, title, company seal)*



Nguyen Duc Quan



**ASIA PACIFIC SECURITIES JOINT  
STOCK COMPANY**

**Socialist Republic of Vietnam  
Independence - Freedom – Happiness**

No: *102*/2025/CV-APS

*Ha Noi, October, 16<sup>th</sup>* 2025

**To: - Vietnam Stock Exchange;  
- Hanoi Stock Exchange;  
- Ho Chi Minh Stock Exchange;  
- State Securities Commission of Vietnam.**

1. Name of the organization: Asia Pacific Securities Joint Stock Company
  - Stock code: APS
  - Address: 3rd floor, Grand Plaza building, 117 Tran Duy Hung, Yen Hoa Ward, Hanoi City.
  - Tel: 1900 999986
2. Explanation content:
  - Financial Statements for Quarter III of 2025

This information has been published on the company's website on *October, 17<sup>th</sup>* 2025 at the link [www.apec.com.vn](http://www.apec.com.vn).

***Attached documents:***

- *Financial report, Financial Safety Ratio Report*
- *Explanation letter*

**ASIA PACIFIC SECURITIES JOINT STOCK COMPANY**



**TỔNG GIÁM ĐỐC**  
*Nguyễn Đức Quân*

No: 103./2025/CV-APS

Ha Noi, October 16<sup>th</sup> 2025

To: - Vietnam Stock Exchange;  
- Hanoi Stock Exchange;  
- Ho Chi Minh Stock Exchange;  
- State Securities Commission of Vietnam.

1. Name of the organization: Asia Pacific Securities Joint Stock Company
  - Stock code: APS
  - Address: 3rd floor, Grand Plaza building, 117 Tran Duy Hung, Yen Hoa Ward, Hanoi City.
  - Tel: 1900 9999 86
  - E-mail:
2. Explanation content:
  - Net profit difference of 10% or more compared to the same period of the previous year;

Unit: VND

ITEM	Quarter III of 2025	Quarter III of 2024	Deviation rate (%)
<b>I</b>	<b>OPERATING REVENUE</b>		
1.1	91,442,590,700	34,495,010,900	165%
1.2	7,291,542,799	6,799,188,728	7%
	<b>98,734,133,499</b>	<b>41,294,199,628</b>	<b>139%</b>
<b>II</b>	<b>OPERATING EXPENSES</b>		
2.1	37,093,860,940	17,718,402,390	109%
2.2	2,392,048,141	1,720,863,941	39%
	<b>39,485,909,081</b>	<b>19,439,266,331</b>	<b>103%</b>
<b>III</b>	<b>GENERAL AND ADMINISTRATIVE EXPENSE</b>		
	<b>4,286,609,782</b>	<b>4,211,629,386</b>	<b>2%</b>
<b>IV</b>	<b>OPERATING RESULT</b>		
	<b>54,970,169,266</b>	<b>17,657,669,108</b>	<b>211%</b>
<b>V</b>	<b>ACCOUNTING PROFIT AFTER TAX</b>		
	<b>43,519,890,400</b>	<b>14,102,315,657</b>	<b>209%</b>

- Revenue: Profit after tax in Q3 2025 increased by 209% compared to the same period last year, mainly due to the revaluation of the company's held investments, which rose by 165%, leading to a total revenue increase of 139% year-over-year.

- Expenses: In addition to financial assets (FAs) that were revalued upward, there were still some FAs that were revalued downward, resulting in a 103% increase in operating expenses compared to the same period last year. Administrative expenses rose slightly by 2% compared to Q3 2024. These factors contributed to a profit after tax deviation of 10% or more compared to the same period last year.

This information has been published on the company's website on *October, 17<sup>th</sup>* 2025 at the link [www.apec.com.vn](http://www.apec.com.vn).

**Attached documents:**

- *Financial report*
- *Explanation letter*

ASIA PACIFIC SECURITIES JOINT STOCK COMPANY



TỔNG GIÁM ĐỐC  
*Nguyễn Đức Quân*



No: 104./2025/CV-APS

Ha Noi, October 16<sup>th</sup> 2025

**EXTRAORDINARY INFORMATION DISCLOSURE**

To: - Vietnam Stock Exchange;  
- Hanoi Stock Exchange;  
- Ho Chi Minh Stock Exchange;  
- State Securities Commission of Vietnam.

1. Name of the organization: Asia Pacific Securities Joint Stock Company
  - Stock code: APS
  - Address: 3rd floor, Grand Plaza building, 117 Tran Duy Hung, Yen Hoa Ward, Hanoi City.
2. Tel: 1900 9999 86
3. Explanation content:
  - Q3/2025 Report on Measures to Remedy the Status of APS Stock Being Placed Under Warning and Control.
4. This information has been published on the company's website on October, 17<sup>th</sup> 2025 at the link [www.apec.com.vn](http://www.apec.com.vn).

We hereby commit that the information disclosed above is true and accurate, and we fully take responsibility before the law for the content of the disclosed information.

**Attached documents:**

- Explanation letter no:  
...../2025/CV-APS

ASIA PACIFIC SECURITIES JOINT STOCK COMPANY



Nguyen Duc Quan

**ASIA PACIFIC SECURITIES JOINT STOCK  
COMPANY**

**Socialist Republic of Vietnam  
Independence - Freedom – Happiness**

No: 105../2025/CV-APS

*Ha Noi, October, 16<sup>th</sup>, 2025*

*Regarding the correction of the situation of the stock  
being placed under warning and supervision status*

**To: - Vietnam Stock Exchange;  
- Hanoi Stock Exchange;  
- State Securities Commission of Vietnam.**

- Name of the organization: Asia Pacific Securities Joint Stock Company
- Stock code: APS
- Address: 3rd floor, Grand Plaza building, 117 Tran Duy Hung, Trung Hoa Ward, Cau Giay District, Hanoi City.
- Tel: 1900 999986

Asia Pacific Securities Joint Stock Company, hereinafter referred to as "APS", has received Notification No. 2927/TB-SGDHN dated July 2, 2025 from the Hanoi Stock Exchange regarding the following:

- The APS shares have been placed under warning status pursuant to Decision No. 776260/QD-SGDHN dated July 2, 2025 issued by the Hanoi Stock Exchange, due to negative undistributed after-tax profit as of December 31, 2024.
- The APS shares have been placed under control status pursuant to Decision No. 777/QD-SGDHN dated July 2, 2025 issued by the Hanoi Stock Exchange, due to the qualified audit opinion (except-for opinion) by the auditing firm on the financial statements for 2023 and 2024.

APS has taken corrective measures as follows:

- In 2025, Asia Pacific Securities Joint Stock Company has developed business plans aimed at improving operational efficiency. The Company is focusing on strengthening proprietary trading activities, enhancing service quality, and minimizing costs to the greatest extent possible, with the goal of achieving a positive net profit after tax for 2025 and bringing the accumulated undistributed profit after tax back into positive territory.
- In 2025, Asia Pacific Securities Joint Stock Company is gradually recovering the VND 144.6 billion in outstanding advances, with the aim of eliminating the qualified opinion from the auditor's report on the 2025 financial statements..

This is the explanation letter and report on the corrective measures taken to address the situation of APS shares being placed under warning and supervision. APS respectfully requests the Commission and the Exchange to review and support the removal of APS shares from the warning and supervision status.

Thank you for your attention!

**ASIA PACIFIC SECURITIES JOINT STOCK COMPANY**



**TỔNG GIÁM ĐỐC**  
*Nguyễn Đức Quân*