

No: *12*./2025/CV-APS

Ha Noi, January *16* *17* 2025

EXTRAORDINARY INFORMATION DISCLOSURE

**To: - Vietnam Stock Exchange;
- Hanoi Stock Exchange;
- Ho Chi Minh Stock Exchange;
- State Securities Commission of Vietnam.**

1. Name of the organization: Asia Pacific Securities Joint Stock Company
 - Stock code: APS
 - Address: 3rd floor, Grand Plaza building, 117 Tran Duy Hung, Trung Hoa Ward, Cau Giay District, Hanoi City.
 - Tel: 024.3573.0200 Fax: 024.3577.1966
2. Explanation content:
 - Quarter IV of 2024 report on measures to address the situation of APS stock being classified under Warning and Supervision status.
3. This information has been published on the company's website on January, *17* 2025 at the link www.apec.com.vn.

We hereby commit that the information disclosed above is true and accurate, and we fully take responsibility before the law for the content of the disclosed information.

Attached documents:

- Explanation letter no:
12./2025/CV-APS

ASIA PACIFIC SECURITIES JOINT STOCK COMPANY *for*



Nguyen Thi Ngoc Ha
Nguyen Thi Ngoc Ha

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Ha Noi, January *17th* 2025

Regarding the correction of the situation of the stock
being placed under warning and supervision status

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Asia-Pacific Securities Joint Stock Company, hereinafter referred to as “APS,” has received Notice No. 1488/TB-SGDHN dated April 1, 2024, from the Hanoi Stock Exchange regarding the following:

- Placing APS shares under the warning status according to Decision No. 222/QĐ-SGDHN dated April 1, 2024, of the Hanoi Stock Exchange, due to the negative undistributed after-tax profit as of December 31, 2023, and the auditor’s qualified opinion on the audited financial statements of the Company.
- Placing APS shares under the supervision status according to Decision No. 223/QĐ-SGDHN dated April 1, 2024, of the Hanoi Stock Exchange, due to the negative after-tax profit in 2022 and 2023 as reported in the 2023 audited financial statements.

APS has taken corrective measures as follows:

- In 2024, Asia-Pacific Securities Joint Stock Company is gradually recovering the advance receivable of 172.2 billion VND.
- Asia-Pacific Securities Joint Stock Company has developed business plans to improve operational efficiency. The Company focuses on enhancing proprietary trading activities, improving service quality, and reducing costs to the maximum. As a result, APS's after-tax profit in 2024 is expected to reach 21.8 billion VND.

This is the explanation letter and report on the corrective measures taken to address the situation of APS shares being placed under warning and supervision. APS respectfully requests the Commission and the Exchange to review and support the removal of APS shares from the warning and supervision status.

Thank you for your attention!

ASIA PACIFIC SECURITIES JOINT STOCK COMPANY *lin*



TỔNG GIÁM ĐỐC
Nguyễn Đức Quân